



# TARIFF BOOK

SERVICES RENDERED BY  
VTB BANK (PJSC)  
SHANGHAI BRANCH



## Part 1. Settlement and cash services

Item №	The list of services / operations	Effective tariffs		
		Base rate	Minimum	Maximum
<b>1.1.</b>	<b>Account opening</b>			
1.1.1.	Opening current account in foreign currency/ Opening special account in foreign currency/ Opening capital account in foreign currency			
1.1.1.1.	For PRC residents	CNY 2000		
1.1.1.2.	For non-residents of PRC	USD 300		
1.1.2.	Opening current account in CNY/ Opening special account in CNY/ Opening capital account in CNY			
1.1.2.1.	For PRC residents	CNY 2000		
1.1.2.2.	For non-residents of PRC	USD 300		
1.1.3.	Opening deposit account in foreign currency	Free of charge		
1.1.4.	Confirmation of signatures of authorized persons, included into signature card and company's chop, for account opening purpose	CNY 2000		
<b>Note to paragraph 1.1.4.</b>				
When rendering services to customers outside the city of the Bank, the Customer shall additionally pay (reimburse to the Bank) expenses related to travel of the Bank's employees to the place of work and his/her residence there				
<b>Note to paragraph 1.1.</b>				
1. Tariff in accordance with p. 1.1. is charged once at the moment of opening of the first account. In case the application of opening of the first account contains accounts in foreign currency and in CNY, the tariff applies only to one of the accounts. Subsequent account opening is free of charge.				
2. Tariff is charged no later than 5 working days from the date of account opening.				
3. Opening of deposit account is free of charge.				
<b>1.2.</b>	<b>Account service fee</b>			
1.2.1.	In CNY and foreign currencies, except EUR			
1.2.1.1.	For PRC residents	CNY 300 monthly		
1.2.1.2.	For non-residents of PRC	USD 40 monthly		
<b>Note to paragraph 1.2.1.</b>				
1. Tariff is charged on monthly basis in the first working day of calendar month (regardless the quantity of opened accounts, opened in currencies other than EUR) or upon receipt of funds.				
2. In the absence of turnover during the month (accrued interests, bank tariffs and erroneously written-off amounts from customer's account are not included in turnover), tariff is charged in the amount in accordance with p. 1.2., but not exceeding the amount of the account balance. In case of absence of sufficient amount on the account to pay the fee, the amount of fee may be withheld from other accounts of the Client (except for capital and/or transit) opened in the Branch, in accordance with General commercial agreement at the rate of Central Bank of Russian Federation on the date of tariff is charged. Tariff is not charged, if there are restrictions on the account in the amount of the available account balance in accordance with the legislation of the PRC and the Russian Federation. If there is the amount exceeding the amount of blocked funds, the tariff is charged in the prescribed amount.				
3. Tariff is not charged in the month of account opening. Tariff is charged in the month of account closing regardless of the number of calendar days of service during the month.				
4. In accordance with the legislation of the PRC tariff does not apply to the basic account.				
5. Tariff is not charged from the Client, who has only a capital account.				
6. Deposit account maintenance fee is free of charge.				
1.2.2.	In EUR			
1.2.2.1.	For PRC residents	0,08 % from average monthly account balance	CNY 300 monthly	

1.2.2.2.	For non-residents of PRC	0,08 % from average monthly account balance	USD 40 monthly
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**Note to paragraph 1.2.2.**

- Tariff is charged on monthly basis in the first working day of calendar month (regardless the quantity of opened accounts) or upon receipt of funds.
- Tariff is charged from the average monthly account from current account in EUR and respective transit account.
- Monthly account balance is calculated separately for each account (current and transit account) for the Client as the sum of the account balances at the beginning of each day of the month in proportion to the number of calendar days in the month. The tariff for the months of account opening and account closing is calculated in proportion to the actual number of calendar days in this month during which the Bank account agreement is valid.
- In the month of account closing the tariff is charged in the day of transferring account balance from client's account to other Bank.
- If the account does not have sufficient funds to pay the tariff, the amount of the fee may be deducted from the Client's other accounts (except for capital and/or transit accounts) opened in the Branch, in accordance with the provisions of the Bank account agreement at the exchange rate of the Central Bank of the Russian Federation on the date of tariff is not charged if there are restrictions on debiting funds in the amount of the available account balance in accordance with the laws of the PRC and the Russian Federation. If there is an amount of money on the account that exceeds the amount of blocked funds, the fee is charged in the prescribed amount.
- The tariff is charged in the amount specified in p.1.2.2, not exceeding the amount of the account balance.

**Note to paragraph 1.2.**

If Tariff is charged in accordance with clause 1.2.1, tariff in accordance with clause 1.2.2 is charged at the base rate.

<b>1.3.</b>	<b>Closing and blocking accounts</b>	Free of charge
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**Note to paragraph 1.3.**

Accounts may be blocked or closed in any event of:

- Customer's application on the account closing;
- Nil transactions or account movements on all customers' accounts over 1 year as well as nil account balance for all customers' accounts over 1 year base on account service agreement;
- Debts to the Bank for payment of commissions or other overdue amount from the client;
- Request from regulator for any reason;
- Failure to provide information upon request of the Bank;
- Any other reason in accordance with account service agreement.

<b>1.4.</b>	<b>Inward remittance in favor of VTB client</b>
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1.4.1.	In CNY	
1.4.1.1.	Remittance in Mainland China	Free of charge
1.4.1.2.	Cross-border remittance	Free of charge
1.4.2.	In foreign currency	Free of charge

**Note to paragraph 1.4.1.2. and 1.4.2.**

Tariff is applied, if the quantity of debit transactions for the previous month of service was 9 or more. If the quantity of debit transactions for the previous month of service was less than 9, the tariff is charged in accordance with clause 1.5.3.

<b>1.5.</b>	<b>Remittance</b>
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1.5.1.	Payments in favor of VTB's clients	USD 5 for each transaction		
1.5.2.	Payments in favor of other bank's clients			
1.5.2.1.	in foreign currency and CNY cross-border payments	0.2% of the amount	USD 25	USD 205
1.5.2.2.	payments in foreign currency and CNY cross-border payment with guaranteed receiving of full amount	USD 20 for each transaction		

**Note to paragraph 1.5.2.2.**

Charged in addition to fee 1.5.2.1.

**Note to paragraph 1.5.1 and 1.5.2**

Tariff is charged from the first day of the calendar month, if the quantity of debit transactions for the previous calendar month of service was 9 or more. If the quantity if debit transactions for the previous calendar month of service was less than 9, tariff is charged in accordance with clause 1.5.3.

1.5.3.	Cross-border contract	0.17% (of transfer amount)	USD 25	USD 3000
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**Note to paragraph 1.5.3**

1. Tariff is applied from the first month of providing the service.
2. Tariff is charged, if the quantity of debit transactions for the previous month of service was less than 9.
3. Tariff is not applied in case the Bank is advising or beneficiary bank.
4. Tariff is not applied to the transactions of Russian residents. Tariff is charged according to p. 3.3.
5. In case transfer amount is less than minimum rate of tariff, the tariff is equal to tariff amount.

<b>1.5.4.</b>	<b>CNY payments in Mainland China</b>		
	up to CNY 10,000.00 (inclusive)	CNY 5	
	from CNY 10,000.00 to CNY 100,000.00 (inclusive)	CNY 10	
	from CNY 100,000.00 to CNY 500,000.00 (inclusive)	CNY 15	
	from CNY 500,000.00 to CNY 1,000,000.00 (inclusive)	CNY 20	
	over CNY 1,000,000.00	0.002% of the amount	CNY 200

**Note to paragraph 1.5.**

Telecommunication expenses are not charged.

Item №	The list of services / operations	Effective tariffs		
		Base rate	Minimum	Maximum
1.6.1.	Amendments of terms, cancellation of payments in foreign currency	USD 25		
1.6.2.	Amendments of terms, cancellation of payments in CNY	CNY 50		
<b>1.7.</b>	<b>Cash operations</b>			
1.7.1.	Acceptance and recounts of cash	0.25% of the amount	USD 5	
<b>Note to paragraph 1.7.1.</b>				
The fee is charged from the total amount of cash deposited to the customer's account during the day.				
1.7.2.	Cash withdrawal from customer accounts in foreign currency	1% of the withdrawal amount	USD 7	
<b>Note to paragraph 1.7.2.</b>				
The fee is charged at the time of the transaction.				
1.7.3.	Cash withdrawal from customer accounts in CNY			
1.7.3.1.	from permanent accounts	0.1% of the amount		CNY 500
1.7.3.2.	from temporary accounts	0.2% of the amount		CNY 500
<b>Note to paragraph 1.7.</b>				
Cash operations are available in case of technical capability.				
<b>1.8.</b>	<b>Issuing receipts, statements and other documents at request of client</b>			
1.8.1.	Account statement	Free of charge		
1.8.2.	Reissue, duplicate statement			
1.8.2.1.	- in foreign currency	USD 20 per statement		
1.8.2.2.	- in CNY	CNY 100 per statement		
1.8.3.	Account statement of a period			
1.8.3.1.	- in foreign currency	USD 20 per statement		

1.8.3.2.	- in CNY	CNY 150 per statement
1.8.4.	Certificate on the existence (opening, closing) of accounts	
1.8.4.1.	- in foreign currency	Free of charge
1.8.4.2.	- in CNY	CNY 50 per request
1.8.5.	Response to the customer's request about operations (for the customer's auditors)	
1.8.5.1.	- in foreign currency	USD 50 per document
1.8.5.2.	- in CNY	CNY 150 per request

Item №	The list of services / operations	Effective tariffs		
		Base rate	Minimum	Maximum
1.8.6.	Response to customer inquiries about investigations of payments with the limitation period for transactions in foreign currency:			
1.8.6.1.	up to 3 months	USD 20 per document		
1.8.6.2.	over 3 months	USD 40 per document		
1.8.7.	Response to customer inquiries about investigations of CNY payments			
1.8.7.1.	up to 3 months	Free of charge		
1.8.7.2.	3 months-1 year	CNY 50 per request		
1.8.7.3.	over 3 years	CNY 50 per request / per year		
<b>1.9.</b>	<b>Express Mail</b>	In accordance with the postal rate of express delivery services (DHL, TNT, EMS, etc.), as well as local courier service rates		

**Note to Part 1.**

1. VAT is included in the cost of all services / operations specified in this Part, except p. 1.1.4

2. Cross-border contract is the contract, that signed between:

1) resident of Mainland China and non-resident of Mainland China; or

2) non-residents of Mainland China.

3. For p. 1.4 and 1.5 for calculation purpose of transactions means all transactions of the Client excluded accrued interests, bank tariffs and erroneously written-off amounts from customer's account, same name transactions within the Bank or other Banks.

## Part 2. Documentary operations and functions of currency control agent

Item №	The list of services / operations	Effective tariffs		
		Base rate	Minimum	Maximum
<b>2.1.</b>	<b>Documentary Letter of Credit</b>			
2.1.1.	Pre-advising the opening of a Letter of Credit	USD 50		
2.1.2.	Advising of a letter of credit / changing the terms of a letter of credit			
2.1.2.1.	Advising a letter of credit / increasing the amount of a letter of credit	0.15% of the amount	USD 100	USD 500
2.1.2.2.	Advising of changes in the terms of a letter of credit (except for the increase)	USD 100		
<b>Note to paragraph 2.1.2.</b>				
For LCs opened by Banco VTB Africa SA, minimum rate of tariff in accordance with p. 2.1.2.1. is USD 200, base rate of tariff in accordance with p. 2.1.2.2. is USD 200. The confirmation of LC opening means receiving the SWIFT message by Banco VTB Africa SA.				
2.1.3.	Opening of a letter of credit, increasing the amount or extending the tenor of a letter of credit (except for a Standby letter of credit)	By agreement, but not less than 0.15% of the amount of the letter of credit / amount of increase for a 3-month period or a part thereof, including the installment period	USD 250	
2.1.4.	Confirmation, increase or prolongation of the confirmed letter of credit	By agreement	USD 50	
2.1.5.	Payments, checking or receiving and sending documents	0.15% of the amount	USD 50	
<b>Note to paragraph 2.1.5.</b>				
When providing several of the listed in paragraphs 2.1.5 services at the same time, the fee is only charged once, except for the cases when the above services are rendered simultaneously by several structural subdivisions of the Bank according to different territorial jurisdiction.				
2.1.6.	Amending terms (except for an increase), cancellation of a letter of credit	USD 250		
2.1.7.	The acceptance of a draft / acceptance of deferred payment	0.15% for each month or a part thereof	USD 50	
2.1.8.	Transfer of Letter of Credit	0.15% of the transfer amount	USD 50	USD 500
2.1.9.	Registration of the right to proceed assignment	USD 70		
2.1.10.	Performing of the functions of the reimbursing bank (payments in accordance with the reimbursement instructions)	0.2 %	USD 50	USD 300
2.1.11.	Opening of a Standby letter of credit	By agreement, but not less than 0.15% for a 3-month period or a part thereof,	USD 250	

including the installment period

Item №	The list of services / operations	Effective tariffs		
		Base rate	Minimum	Maximum
2.1.12.	Payments, checking or receiving and sending of documents under the agreement on opening a standby letter of credit issued by VTB Shanghai	Free of charge		

**Note to paragraph 2.1.**

Fee for services specified in paragraphs 2.1.3. and 2.1.4. is charged for a period of 3 months for the estimated number of calendar days of the LC validity period, including the period of payment by installments.

<b>2.2. Collection</b>				
2.2.1.	Clean collection (except for order checks)	0.1%	USD 5	USD 1000
2.2.2.	Order checks	0.1%	USD 5	USD 100
2.2.3.	Documentary collection			
2.2.3.1.	Documents against acceptance or payment	0.1%	USD 40	USD 1000
2.2.3.2.	Documents free	0.05%	USD 40	USD 120
2.2.4.	Forwarding or return of the documents presented for collection but not paid by the customer	USD 40 per each package of documents		
2.2.5.	Forwarding by VTB to correspondent bank messages of foreign banks for collection (checks), being registered with other commercial banks	USD 5		
2.2.6.	Amending or cancellation of the collection order	USD 40		
2.2.7.	Checking up and forwarding of documents for collection	0.1%	USD 40	USD 500
<b>2.3. Performing the functions of currency control agent (Russian companies NRA only)</b>				
2.3.1.	Foreign exchange control against foreign trade between Russian resident and non-Russian resident			
2.3.1.1.	When the customer-Russian resident provides information on the operation in the form set forth by the Bank, implemented as an electronic document in the Remote Banking System, and when writing off the currency of the Russian Federation under a contract / loan agreement that is not subject to registration	0.13% (from the amount of payment / deposit)	USD 10	USD 2000
2.3.1.2.	in other cases	0.17% (from the amount of payment / deposit)	USD 30	USD 3000
2.3.2.	Foreign exchange control against foreign trade between Russian residents except for with VTB Bank (PJSC)			
2.3.2.1.	When the customer-Russian resident provides information on the operation in the form set forth by the Bank, implemented as an electronic document in the Remote Banking System	0.13% (from the amount of payment / deposit)	USD 10	USD 1000

Item №	The list of services / operations	Effective tariffs		
		Base rate	Minimum	Maximum
2.3.2.2.	In other cases	0.17% (from the amount of payment / deposit)	USD 20	USD 2000
2.3.3.	Conducting currency control procedures in the performance of obligations for payment in a manner different from settlements in foreign currency and the Russian Federation currency between a Russian resident and a Russian non-resident	0.13% (from the amount of performance of obligations)	USD 10	USD 2000
2.3.4.	Registration of a contract / loan agreement			
2.3.4.1.	When information on the contract/ loan agreement is provided in the Bank's form as an electronic document via the RBS system	Free of charge		
2.3.4.2.	When information on the contract/ loan agreement is provided in the Bank's form by any other means but the one referred to in 2.3.4.1.	Free of charge		
2.3.4.3.	When providing information about the contract/loan agreement not in a form set forth by Bank	USD 20		
2.3.5.	The deregistration of the contract / loan agreement			
2.3.5.1.	In the absence of payments / receipts made through accounts with the Bank, as well as absence of payment obligations executed in a manner different from settlements in foreign currency and the Russian currency between a Russian resident and a Russian non-resident	USD 50		
2.3.5.2.	Under Clause 6.1.1 of the Bank of Russia Instruction No.181-I dated 16.08.2017 "On the Procedure for Residents and Non-Residents to Submit Documents and Information Confirming Foreign Exchange Operations to Authorized Banks, on Single Accounting of and Reporting Forms Related to Foreign Exchange Operations, and the Procedure and Timeframes for their Submission"	USD 200		

**Notes to paragraph 2.3.**

1. Identification that is referred to in paragraphs 2.3.1, 2.3.2 means the provision by the customer of documents and / or information related to the transaction in accordance with the requirements of the Bank of Russia Directive No. 181-I of August 16, 2017
2. With regard to paragraphs 2.3.1., 2.3.2. the fee is not charged for transactions related to: - return of erroneously written-off (credited) funds (currency transaction codes 99010, 99020); - with payment of taxes, duties and other charges (currency transaction code 70020); - with payment of wages and other types of labor compensations, pensions, allowances and other social payments (codes of currency transactions 70040, 70060).
3. With regard to paragraph 2.3.2. the fee is not charged for transactions related to: - between Russian residents on accounts in VTB, including branches located outside the territory of the Russian Federation; - between Russian residents and VTB, including branches located outside the territory of the Russian Federation.
4. With regard to paragraphs 2.3.1.2., 2.3.2.2. other cases are understood as the provision by the customer-Russian resident of information about an operation in hard copy (including the form set forth by the Bank), as well as in the Remote Banking System, executed in a format other than the electronic document created by the Bank in the Remote Banking System (for example, in the form of an attached file / information provided in free format).

5. Fee for the services related to in paragraph 2.3.4. is not charged when accepting for service a contract / loan agreement with a previously assigned unique number.

6. With the simultaneous occurrence of the facts under paragraphs 2.3.5.1. and 2.3.5.2, a tariff rate specified in paragraph 2.3.5.2. shall be applied.

7. In situation when the Customer provides information on the transfer of a contract from one VTB unit to another (including branches located outside the territory of the Russian Federation), the fee under paragraph 2.3.5.2. shall not be charged.

8. The service is provided to residents of the Russian Federation in accordance with the requirements of the Russian currency legislation; the fee is paid by customers-residents of the Russian Federation.

9. The fee is not charged in the event that the client provides documents and / or information that modifies (corrects) information on a previously identified transaction.

Item №	The list of services / operations	Effective tariffs		
		Base rate	Minimum	Maximum
<b>2.4.</b>	<b>Guarantee operations</b>			
2.4.1.	Issue, increase, prolongation of the guarantee	By agreement for a period of 3 months or a part thereof	USD 250	
2.4.2.	Changing the terms of the guarantee (except for increase, prolongation)	By agreement	USD 250	
<b>Note to paragraphs 2.4.1. and 2.4.2.</b>				
The commission is charged from the date of issue of the guarantee or the request to another bank with an instruction to issue a guarantee until the date of termination of the guarantee. The commission for an incomplete 3-month period is charged in the same amount as for a full 3-month period.				
2.4.3.	Advising of a guarantee without undertaking obligations by VTB Shanghai Branch	0.10%	USD 50	USD 300
2.4.4.	Advising of amendments to a guarantee without undertaking obligations by VTB Shanghai Branch	USD 50		
2.4.5.	Forwarding a message under the guarantee, advised by VTB	Free of charge		
2.4.6.	Forwarding a message under the guarantee, not advised by VTB	USD 150		
2.4.7.	Presentation of a claim under the guarantee of another bank at the request of the customer	USD 150		
2.4.8.	Verification at the request of the client of signatures for the guarantees of another bank, not advised by VTB	USD 50		
<b>2.5.</b>	<b>Collection (inside China) in CNY</b>			
2.5.1.	Collection of a bank bill	CNY 1		
2.5.2.	Discounting a bank bill	By agreement		
2.5.3.	Check collection	CNY 0.6		

**Notes to Part 2.**

1. Express postal and telegraphic expenses for documentary and guarantee transactions are charged to the client in fact suffered by the Branch expenses in accordance with the postal tariff of services of Express delivery (DHL, TNT, EMS, etc.), as well as the rates of local courier service.

2. Issuance of information-analytical certificates for documentary and guarantee operations is carried out without charging a fee.

3. VAT is included in the cost of all services / operations specified in this Part, except item 2.3.

## Part 3. Remote banking services (RBS)

Item №	The list of services / operations	Effective tariffs		
		Base rate	Minimum	Maximum
<b>3.1.</b>	<b>Provision of Remote Banking Services (RBS)</b>			
3.1.1.	Provision of software for the RBS service and the software for cryptographic information protection	Free of charge		
3.1.2.	Connecting the customer to the RBS	Free of charge		
<b>Note to paragraph 3.1.2.</b> A fee will be charged on the day of submission to the Bank "the Act of commissioning of the services "Remote banking service", signed by the Bank and the Customer.				
3.1.3.	Preparing U key for clients (except for planned replacement)	USD 15		
<b>Note to paragraph 3.1.3.</b> Tariff is charged on the day of submission to the Bank the application for RBS.				
3.1.4.	RBS service	USD 15 monthly		
<b>Note to paragraph 3.1.4.</b> 1. Tariff is charged by the Bank on the last business day of the month. 2. Tariff of the month of connection to the RBS is charged for a full month of service. Tariff of the month of disconnection from the RBS is not charged (if the disconnection occurred not in the month of connection)				
3.1.5.	Visit of a Bank employee to install/ operate with installed RBS	Free of charge		
<b>Notes to paragraph 3.1.5.</b> 1. The fee is charged after the signing of "the Act of commissioning of the services "Remote banking service" (when connected to the service by the Bank) /Act of acceptance of works. 2. When rendering services to customers outside the city of the Bank unit location, the Customer shall additionally pay (reimburse to the Bank) expenses related to travel of the Bank's employee to the place of work and his/her residence there.				
<b>Note to Part 3.</b> VAT is included in the cost of all services / operations specified in this Part.				
<b>Note to Tariff Book.</b> Fees and/ or charges of items not specified in this Tariff Book shall be subject to friendly bilateral negotiation and bilateral agreement respectively.				